

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93)		ATTORNEY'S DOCKET NUMBER <i># 3</i> 3477-89
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/674,237
INTERNATIONAL APPLICATION NO. PCT/CA99/00375	INTERNATIONAL FILING DATE 27 April 1999	PRIORITY DATE CLAIMED 27 April 1998
TITLE OF INVENTION <i>ESCAPE GENES AND PROTEINS</i>		
APPLICANT(S) FOR DO/EO/US Sean E. EGAN; Wei WANG; Ameet SENGAR		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Verified Statement Claiming Small Entity Status 		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO.	NEY'S DOCKET NUMBER																																																													
09/674,237		PCT/CA99/00375	3477-89																																																													
<p>17. [] The following fees are submitted:</p> <p>Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$860.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482). \$690.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1,000.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4). \$96.00</p>																																																																
<p>ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00</p> <table border="1"> <tr> <td colspan="2"></td> <td>\$ 860.00</td> <td></td> </tr> <tr> <td colspan="2">Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td>\$.</td> <td></td> </tr> <tr> <td>Claims</td> <td>Number Filed</td> <td>Number Extra</td> <td>Rate</td> </tr> <tr> <td>Total Claims</td> <td>58 - 20 =</td> <td>38</td> <td>X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>26 - 3 =</td> <td>23</td> <td>X \$80.00</td> </tr> <tr> <td colspan="2">Multiple dependent claim(s) (if applicable)</td> <td>+ \$270.00</td> <td>\$.</td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS =</td> <td colspan="2">\$3,384.00</td> </tr> <tr> <td colspan="2">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td colspan="2">\$1,692.00</td> </tr> <tr> <td colspan="2">SUBTOTAL =</td> <td colspan="2">\$1,692.00</td> </tr> <tr> <td colspan="2">Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td colspan="2">\$.</td> </tr> <tr> <td colspan="2">TOTAL NATIONAL FEE =</td> <td colspan="2">\$1,692.00</td> </tr> <tr> <td colspan="2">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td colspan="2">\$.</td> </tr> <tr> <td colspan="2">TOTAL FEES ENCLOSED =</td> <td colspan="2">\$.</td> </tr> <tr> <td colspan="2"></td> <td>Amount to be refunded</td> <td>\$1,692.00</td> </tr> <tr> <td colspan="2"></td> <td>charged</td> <td>\$.</td> </tr> </table>							\$ 860.00		Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$.		Claims	Number Filed	Number Extra	Rate	Total Claims	58 - 20 =	38	X \$18.00	Independent Claims	26 - 3 =	23	X \$80.00	Multiple dependent claim(s) (if applicable)		+ \$270.00	\$.	TOTAL OF ABOVE CALCULATIONS =		\$3,384.00		Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$1,692.00		SUBTOTAL =		\$1,692.00		Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$.		TOTAL NATIONAL FEE =		\$1,692.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$.		TOTAL FEES ENCLOSED =		\$.				Amount to be refunded	\$1,692.00			charged	\$.
		\$ 860.00																																																														
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$.																																																														
Claims	Number Filed	Number Extra	Rate																																																													
Total Claims	58 - 20 =	38	X \$18.00																																																													
Independent Claims	26 - 3 =	23	X \$80.00																																																													
Multiple dependent claim(s) (if applicable)		+ \$270.00	\$.																																																													
TOTAL OF ABOVE CALCULATIONS =		\$3,384.00																																																														
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$1,692.00																																																														
SUBTOTAL =		\$1,692.00																																																														
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$.																																																														
TOTAL NATIONAL FEE =		\$1,692.00																																																														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$.																																																														
TOTAL FEES ENCLOSED =		\$.																																																														
		Amount to be refunded	\$1,692.00																																																													
		charged	\$.																																																													
<p>a. [] A check in the amount of \$ to cover the above fees is enclosed.</p> <p>b. [X] Please credit my Deposit Account No. 50-0220 in the amount of \$1,692.00 to cover the above overpayment of fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0220.</p>																																																																
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																																																																
<p>SEND ALL CORRESPONDENCE TO:</p> <table border="1"> <tr> <td colspan="2"> <p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box PCT, Commissioner for Patents, Washington, DC 20231, on November 29, 2000.</p> <p><i>Margorie J. Pfeiffer</i> Margorie J. Pfeiffer Date of signature: November 29, 2000</p> </td> </tr> </table>					<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box PCT, Commissioner for Patents, Washington, DC 20231, on November 29, 2000.</p> <p><i>Margorie J. Pfeiffer</i> Margorie J. Pfeiffer Date of signature: November 29, 2000</p>																																																											
<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box PCT, Commissioner for Patents, Washington, DC 20231, on November 29, 2000.</p> <p><i>Margorie J. Pfeiffer</i> Margorie J. Pfeiffer Date of signature: November 29, 2000</p>																																																																
<p><i>Karen Magri</i></p> <p>SIGNATURE</p> <p>Karen A. Magri</p> <p>41,965</p> <p>REGISTRATION NUMBER</p>																																																																